

INFORMATION DISCLOSURE CITATION
IN AN APPLICATION

Form PTO-1449

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| Docket Number | Application Number |
| 92654-004-401 | 09/922,935 |
| Applicant | |
| James G. GATTO | |
| Filing Date | Group Art Unit |
| August 7, 2001 | 2876 |

U.S. PATENT DOCUMENTS

| *EXAMINER INITIAL | REF | DOCUMENT NO. | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|----------------------|-----|--------------|------------|-------------------------|-------|----------|----------------------------------|
| KDF | 1A | 5,365,516 | 11/15/1994 | Jandrell | 370 | 18 | |
| | 1B | 5,424,938 | 06/13/1995 | Wagner <i>et al.</i> | 364 | 408 | |
| | 1C | 5,525,081 | 06/11/1996 | Mardesich <i>et al.</i> | 440 | 6 | |
| | 1D | 5,526,357 | 06/11/1996 | Jandrell | 370 | 95.2 | |
| | 1E | 5,737,439 | 04/07/1998 | Lapsley <i>et al.</i> | 382 | 115 | |
| | 1F | 5,764,789 | 06/09/1998 | Pare, Jr. <i>et al.</i> | 382 | 115 | |
| | 1G | 5,802,199 | 09/01/1998 | Pare, Jr. <i>et al.</i> | 382 | 115 | |
| | 1H | 5,805,719 | 09/08/1998 | Pare, Jr. <i>et al.</i> | 382 | 115 | |
| | 1I | 5,838,812 | 11/17/1998 | Pare, Jr. <i>et al.</i> | 382 | 115 | |
| | 1J | 5,982,914 | 11/09/1999 | Lee <i>et al.</i> | 382 | 124 | |
| | 1K | 6,012,039 | 01/04/2000 | Hoffman <i>et al.</i> | 705 | 14 | |
| | 1L | Des. 425,873 | 05/30/2000 | Anderson <i>et al.</i> | D14 | 100 | |
| | 1M | 6,131,464 | 10/17/2000 | Pare, Jr. <i>et al.</i> | 73 | 714 | |
| | 1N | 6,150,921 | 11/21/2000 | Verb <i>et al.</i> | 340 | 10.1 | |
| | 1O | 6,154,879 | 11/28/2000 | Pare, Jr. <i>et al.</i> | 902 | 3 | |
| | 1P | 6,192,142 | 02/20/2001 | Pare, Jr. <i>et al.</i> | 382 | 115 | |
| | 1Q | 6,230,148 | 05/08/2001 | Pare, Jr. <i>et al.</i> | 705 | 40 | |
| | 1R | 6,269,348 | 07/31/2001 | Pare, Jr. <i>et al.</i> | 705 | 39 | |
| | 1S | 6,308,428 | 10/30/2001 | Creighton, III | 33 | 286 | |
| | 1T | 6,366,682 | 04/02/2002 | Hoffman <i>et al.</i> | 382 | 115 | |
| | 1U | 6,397,198 | 05/28/2002 | Hoffman <i>et al.</i> | 705 | 44 | |
| | 1V | 6,411,728 | 06/25/2002 | Lee <i>et al.</i> | 382 | 125 | |
| | 1W | 6,460,036 | 10/01/2002 | Herz | 707 | 10 | |
| | 1X | 6,571,279 | 05/27/2003 | Herz <i>et al.</i> | 709 | 217 | |
| KDF | 1Y | 6,581,042 | 06/17/2003 | Pare, Jr. <i>et al.</i> | 705 | 40 | |

FOREIGN PATENT DOCUMENTS

| REF | DOCUMENT NO. | DATE | COUNTRY | CLASS | SUBCLASS | Translations | |
|-----|--------------|------|---------|-------|----------|--------------|----|
| | | | | | | YES | NO |
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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| KDF | 1Z | "Smart Cards: NCR & AT&T Announce New Smart Cards ATM Technology", Edge, December 11, 1992, 2 pages. |
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| EXAMINER | DATE CONSIDERED |
| | 7/9/04 |
| EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant. | |

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U.S. PATENT DOCUMENTS


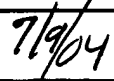
| *EXAMINER INITIAL | REF | DOCUMENT NO. | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|----------------------|-----|--------------|------------|--------------------------|-------|----------|----------------------------------|
| KDF | 1A | 3,184,714 | 05/18/1985 | Brown, Jr. <i>et al.</i> | 340 | 149 | |
| | 1B | 3,655,947 | 04/11/1972 | Yamamoto <i>et al.</i> | 235 | 61.7 B | |
| | 1C | 3,778,595 | 12/11/1973 | Hatanaka <i>et al.</i> | 235 | 31.7 B | |
| | 1D | 3,786,421 | 01/15/1974 | Wostl <i>et al.</i> | 340 | 149 A | |
| | 1E | 3,931,497 | 01/06/1978 | Gentile <i>et al.</i> | 235 | 61.7 B | |
| | 1F | 4,197,986 | 04/15/1980 | Nagata | 235 | 379 | |
| | 1G | 4,225,779 | 09/30/1980 | Sano <i>et al.</i> | 235 | 379 | |
| | 1H | 4,314,352 | 02/02/1982 | Fought | 364 | 900 | |
| | 1I | 4,319,336 | 03/09/1982 | Anderson <i>et al.</i> | 364 | 900 | |
| | 1J | 4,359,631 | 11/16/1982 | Lockwood <i>et al.</i> | 235 | 381 | |
| | 1K | 4,360,728 | 11/23/1982 | Drexler | 235 | 482 | |
| | 1L | 4,460,960 | 07/17/1984 | Anderson <i>et al.</i> | 364 | 200 | |
| | 1M | 4,484,304 | 11/20/1984 | Anderson <i>et al.</i> | 364 | 900 | |
| | 1N | 4,511,970 | 04/16/1985 | Okano <i>et al.</i> | 364 | 401 | |
| | 1O | 4,525,779 | 06/25/1985 | Davids <i>et al.</i> | 364 | 200 | |
| | 1P | 4,593,183 | 06/03/1986 | Fukatsu | 235 | 379 | |
| | 1Q | 4,608,485 | 08/26/1986 | Miura | 235 | 379 | |
| | 1R | 4,650,977 | 03/17/1987 | Couch | 235 | 379 | |
| | 1S | 4,660,168 | 04/21/1987 | Grant <i>et al.</i> | 364 | 900 | |
| | 1T | 4,727,243 | 02/23/1988 | Savar | 235 | 379 | |
| | 1U | 4,736,094 | 04/05/1988 | Yoshida | 235 | 379 | |
| | 1V | 4,900,903 | 02/13/1990 | Wright <i>et al.</i> | 235 | 380 | |
| KDF | 1W | 4,900,906 | 02/13/1990 | Pusic | 235 | 381 | |

FOREIGN PATENT DOCUMENTS

| | REF | DOCUMENT NO. | DATE | COUNTRY | CLASS | SUBCLASS | Translations | |
|-----|-----|--------------|------------|---------|-------|----------|--------------|----|
| | | | | | | | YES | NO |
| KDF | 1X | 0 014 312 A2 | 08/20/1980 | Europe | | | | |
| | 1Y | 0 157 416 A2 | 10/09/1985 | Europe | | | | |
| | 1Z | 0 162 221 A2 | 11/27/1985 | Europe | | | | |
| KDF | 1AA | 0 717 381 A1 | 06/19/1996 | Europe | | | | |

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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| EXAMINER | DATE CONSIDERED |
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Form PTO-1449

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|----------------------|--|---------------------------|--|
| Docket Number | | Application Number | |
| 92654-004-401 | | 09/922,935 | |
| Applicant | | | |
| James G. GATTO | | | |
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| August 7, 2001 | | 2876 | |

U.S. PATENT DOCUMENTS

| *EXAMINER INITIAL | REF | DOCUMENT NO. | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|----------------------|-----|--------------|------------|------------------------|-------|----------|----------------------------------|
| KDF | 2A | 4,959,788 | 09/25/1990 | Nagata <i>et al.</i> | 364 | 408 | |
| | 2B | 4,967,366 | 10/30/1990 | Kaehler | 364 | 479 | |
| | 2C | 5,010,238 | 04/23/1991 | Kadono <i>et al.</i> | 235 | 379 | |
| | 2D | 5,034,916 | 07/23/1991 | Ordish | 364 | 900 | |
| | 2E | 5,047,613 | 09/10/1991 | Swegen <i>et al.</i> | 235 | 379 | |
| | 2F | 5,132,521 | 07/21/1992 | Smith <i>et al.</i> | 235 | 382 | |
| | 2G | 5,140,517 | 08/18/1992 | Nagata <i>et al.</i> | 364 | 408 | |
| | 2H | 5,144,115 | 09/01/1992 | Yoshida | 235 | 379 | |
| | 2I | 5,175,416 | 12/29/1992 | Mansvelt <i>et al.</i> | 235 | 379 | |
| | 2J | 5,202,549 | 04/13/1993 | Decker <i>et al.</i> | 235 | 379 | |
| | 2K | 5,265,033 | 11/23/1993 | Vajk <i>et al.</i> | 364 | 514 | |
| | 2L | 5,276,314 | 01/04/1994 | Martino <i>et al.</i> | 235 | 380 | |
| | 2M | 5,327,066 | 07/05/1994 | Smith | 320 | 2 | |
| | 2N | 5,340,969 | 08/23/1994 | Cox | 235 | 381 | |
| | 2O | 5,343,906 | 09/06/1994 | Tibbals, III | 141 | 83 | |
| | 2P | 5,389,773 | 02/14/1995 | Coutts <i>et al.</i> | 235 | 379 | |
| | 2Q | 5,408,417 | 04/18/1995 | Wilder | 364 | 479 | |
| | 2R | 5,434,395 | 07/18/1995 | Storck <i>et al.</i> | 235 | 380 | |
| | 2S | 5,484,988 | 01/16/1996 | Hills <i>et al.</i> | 235 | 379 | |
| | 2T | 5,496,992 | 03/05/1996 | Madan <i>et al.</i> | 235 | 462 | |
| | 2U | 5,521,363 | 05/28/1996 | Tannenbaum | 235 | 379 | |
| | 2V | 5,548,523 | 08/13/1996 | Gatto | 395 | 156 | |
| KDF | 2W | 5,613,012 | 03/18/1997 | Hoffman <i>et al.</i> | 382 | 115 | |

FOREIGN PATENT DOCUMENTS

| REF | DOCUMENT NO. | DATE | COUNTRY | CLASS | SUBCLASS | Translations | |
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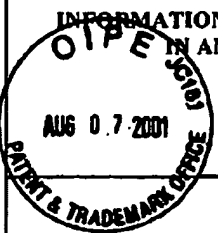
DATE CONSIDERED

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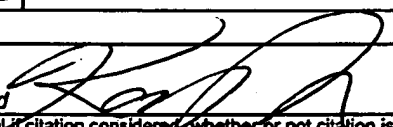

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| INFORMATION DISCLOSURE CITATION IN AN APPLICATION  | | | | Docket Number | | Application Number | |
| | | | | 09999-2654 | | To be assigned | |
| | | | | Applicant(s) | | | |
| | | | | JAMES G. GATTO | | | |
| Filing Date | | Group Art Unit | | | | | |
| August 7, 2001 | | 2876 | | | | | |

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| *EXAMINER INITIAL | REF | DOCUMENT NO. | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
| KDF | 1 | 6,098,879 | 8/8/2000 | Terranova | | | |

| FOREIGN PATENT DOCUMENTS | | | | | | | | |
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| | REF | DOCUMENT NO. | DATE | COUNTRY | CLASS | SUBCLAS S | Translations | |
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